

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization/Lobbying Firm ☐ Self Employed Individual

NORTHROP GRUMMAN CORPORATION

2. Address ☒ Check if different than previously reported

Address1 **2980 FAIRVIEW PARK DRIVE** Address2
City **FALLS CHURCH** State **VA** Zip Code **22042** - Country **USA**

3. Principal place of business (if different than line 2)

City State Zip Code - Country

4a. Contact Name

b. Telephone Number

c. E-mail

5. Senate ID#

LESLEY KALAN

☐ International Number

(703) 280-2638

lesley.kalan@ngc.com

29551-12

7. Client Name ☒ Self ☐ Check if client is a state or local government or instrumentality

6. House ID#

NORTHROP GRUMMAN CORPORATION

316410000

TYPE OF REPORT 8. Year **2011** Q1 (1/1 - 3/31) ☐ Q2 (4/1 - 6/30) ☐ Q3 (7/1-9/30) ☐ Q4 (10/1 - 12/31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date 11. No Lobbying Issue Activity ☐

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$5,000 ☐

\$5,000 or more ☐ \$

Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than \$5,000 ☐

\$5,000 or more ☒ \$ **\$4,300,000.00**

14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Filed Electronically

Date 01/20/2012

Printed Name and Title Sid Ashworth, Corporate Vice President, Government Relations

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

DEF

DEFENSE

(one per page)

16. Specific lobbying issues

See Defense Attachment

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Office of the Secretary of Defense, Navy - Dept of, Natl Security Agency (NSA), Air Force - Dept of, Defense - Dept of (DOD), Army - Dept of (Other) , Central Intelligence Agency (CIA)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sid	Ashworth			<input type="checkbox"/>
Lesley	Kalan			<input type="checkbox"/>
Erin	Pierce			<input type="checkbox"/>
Pete	Perkins			<input type="checkbox"/>
Brian	Wilson			<input type="checkbox"/>
Steve	Pavlick			<input type="checkbox"/>
Benjamin	Abrams			<input type="checkbox"/>
David Allan	Drabkin			<input type="checkbox"/>
Virginia	Scattergood		See LD-1 Amendment Submitted 11/11/2011	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Sid Ashworth, Corporate Vice President, Government Relations

During 2011 fourth quarter, the following issues and programs were addressed as part of FY12 National Defense Authorization Act, S.1867, H.R.1540, FY11 Defense Appropriations Act, S.3800, and FY12 Defense Appropriations Bill, H.R.2219, and S.413, Cybersecurity and Internet Freedom Act of 2011.

3-Dimensional Expeditionary Long-Range Radar
Advanced Extremely High Frequency
Air and Missile Defense Radar
Airborne Laser Mine Detection System
Airborne Signals Intelligence Payload
Airborne Standoff Minefield Detection System
Aircraft Carrier Refueling and Complex Overhaul
B-2 - CEESIM / Mixed Load
Better Buying Power Initiative
Broad Area Communications Network
Broad Area Maritime Surveillance Unmanned Aircraft System
Classified Programs
Common Infrared Countermeasures
Command Post Platform
Consolidated Afloat Network and Enterprise Services
CyberSecurity
Defense FAR Supplement
Defense Integrated Military Human Resources System
Defense Weather Satellite System
E-2D
Enhanced Medium Altitude Reconnaissance and Surveillance System
Enhanced C2BMC
F-18
F-22
F-35
Federal Acquisition Regulation (FAR)
Fire Scout
Force XXI Battle Command, Brigade-and-Below
Global Combat Support System-Army
Global Hawk
Ground/Air Task-Oriented Radar
Ground Combat Vehicle
Guardian POD for KC-135
Guardrail Common Sensor Modernization
Integrated Air and Missile Defense Battle Command System
Intercontinental Ballistic Missile
Joint Counter Radio-Controlled IED Electronic Warfare
Joint Surveillance and Target Attack Radar System
Joint Threat Emitter
Joint Warning & Reporting Network
KC-10 Logistics

Large Aircraft Infrared Countermeasures System
Laser Target Locator Module
LITENING Pods
Littoral Combat Ship Mission Packages
Long Endurance Multi-Intelligence Vehicle
Long Range Strike
M1A1 Laser Designator
Maritime Laser Weapons System
Missile Defense Integration and Operations Center
MORE
Multifunctional Advanced Data Link
Navy - Unmanned Combat Air Systems
Nevada National Security Site
Next Generation Jammer
Operational Responsive Space
P-8
Scalable Agile Beam Radar
Section 815 of the NDAA relating to Foreign Part Suppliers
Section 1206 Security Assistance - Global Train and Equipment
Space Based Infrared Radar System High
Space Tracking and Surveillance System and Precision Tracking Space System
SPQ-9 (B) AESA Upgrade
Small Tactical Radar - Light Weight AN/ZPY-1
STARLite
Surface Ship Hybrid Electric Drive
Total Ship Information Management System
UCAS-D
Unmanned Carrier Launched Airborne Surveillance and Strike
Vehicle and Dismount Exploitation Radar

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

HOM

HOMELAND SECURITY

(one per page)

16. Specific lobbying issues

During 2011 fourth quarter, the following issues were addressed in S.3607, FY11 Homeland Security Appropriations Bill, H.R. 2017 FY12 Homeland Security Appropriations Bill, and Bio-Watch Gen 3; and S.413, Cybersecurity and Internet Freedom Act of 2011; Promoting and Enhancing Cybersecurity and Information Sharing Effectiveness Act of 2011 (The PRECISE Act), H.R. 3674; Cyber Intelligence Sharing and Protection Act of 2011, H.R. 3523.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Homeland Security - Dept of (DHS), U.S. Coast Guard (USCG),

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sid	Ashworth			<input type="checkbox"/>
Lesley	Kalan			<input type="checkbox"/>
Erin	Pierce			<input type="checkbox"/>
Pete	Perkins			<input type="checkbox"/>
Brian	Wilson			<input type="checkbox"/>
Steve	Pavlick			<input type="checkbox"/>
Benjamin	Abrams			<input type="checkbox"/>
Virginia	Scattergood		See LD-1 Amendment Submitted 11/11/2011	<input checked="" type="checkbox"/>
Matthew	McQueen		See LD-1 Amendment Submitted 11/03/2011	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Sid Ashworth, Corporate Vice President, Government Relations

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

AER

AEROSPACE

(one per page)

16. Specific lobbying issues

During 2011 fourth quarter, the following issues were addressed in S.3636, FY11 Commerce, Justice, Science and Related Agencies Appropriations Bill, FY12 Commerce, Justice, Science and Related Agencies Appropriations Bill, James Webb Space Telescope; FAA Reauthorization

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Natl Oceanic & Atmospheric Administration (NOAA), Natl Aeronautics & Space Administration (NASA), Commerce - Dept of (DOC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sid	Ashworth			<input type="checkbox"/>
Lesley	Kalan			<input type="checkbox"/>
Pete	Perkins			<input type="checkbox"/>
Erin	Pierce			<input type="checkbox"/>
Brian	Wilson			<input type="checkbox"/>
Steve	Pavlick			<input type="checkbox"/>
Benjamin	Abrams			<input type="checkbox"/>
Virginia	Scattergood		See LD-1 Amendment Submitted 11/11/2011	<input checked="" type="checkbox"/>
Matthew	McQueen		See LD-1 Amendment Submitted 11/03/2011	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title

Sid Ashworth, Corporate Vice President, Government Relations

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

TAX

TAXATION/INTERNAL REVENUE CODE

(one per page)

16. Specific lobbying issues

During the 2011 fourth quarter, the following issues were addressed: R&D Tax Credit Extension; H.R.942; Repeal 3% Withholding Requirement, H.R.674, S.89, S.164

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sid	Ashworth			<input type="checkbox"/>
Lesley	Kalan			<input type="checkbox"/>
Pete	Perkins			<input type="checkbox"/>
Erin	Pierce			<input type="checkbox"/>
Brian	Wilson			<input type="checkbox"/>
Steve	Pavlick			<input type="checkbox"/>
Benjamin	Abrams			<input type="checkbox"/>
Virginia	Scattergood		See LD-1 Amendment Submitted 11/11/2011	<input checked="" type="checkbox"/>
Matthew	McQueen		See LD-1 Amendment Submitted 11/03/2011	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Sid Ashworth, Corporate Vice President, Government Relations

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

FOR	FOREIGN RELATIONS
-----	-------------------

 (one per page)

16. Specific lobbying issues

During the fourth quarter of 2011, issues addressed were: Global Hawk, F-35, AN/TPS-78 Radar

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Commerce - Dept of (DOC), State - Dept of (DOS)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Erin	Pierce			<input type="checkbox"/>
Sid	Ashworth			<input type="checkbox"/>
Lesley	Kalan			<input type="checkbox"/>
Pete	Perkins			<input type="checkbox"/>
Brian	Wilson			<input type="checkbox"/>
Steve	Pavlick			<input type="checkbox"/>
Benjamin	Abrams			<input type="checkbox"/>
Virginia	Scattergood		See LD-1 Amendment Submitted 11/11/2011	<input checked="" type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Sid Ashworth, Corporate Vice President, Government Relations

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

GOV

GOVERNMENT ISSUES

(one per page)

16. Specific lobbying issues

Government Contracting Regulations, Executive Orders and Legislation

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Navy - Dept of, Natl Security Agency (NSA), Army - Dept of (Other) , Air Force - Dept of, Defense - Dept of (DOD), Natl Aeronautics & Space Administration (NASA), General Services Administration (GSA), Office of Management & Budget (OMB), Office of the Secretary of Defense

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
David Allan	Drabkin			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title

Sid Ashworth, Corporate Vice President, Government Relations

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

ENGENERGY/NUCLEAR

(one per page)

16. Specific lobbying issues

Duriing the fourth quarter of 2011, the following issues were address in S.3635, FY 11 Energy Appropriations Bill, and H.R. 2354 FY12 Energy Appropriations Bill, and Issues relating to the Nevada National Security Site (NNSS)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Energy - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sid	Ashworth			<input type="checkbox"/>
Lesley	Kalan			<input type="checkbox"/>
Pete	Perkins			<input type="checkbox"/>
Erin	Pierce			<input type="checkbox"/>
Brian	Wilson			<input type="checkbox"/>
Steve	Pavlick			<input type="checkbox"/>
Benjamin	Abrams			<input type="checkbox"/>
Virginia	Scattergood		See LD-1 Amendment Submitted 11/11/2011	<input checked="" type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title

Sid Ashworth, Corporate Vice President, Government Relations

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
City _____ State _____ Zip Code _____ - _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ - _____ Country _____

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

1	First Name	Last Name	Suffix	3	First Name	Last Name	Suffix
2				4			

ISSUE UPDATE

24. General lobbying issue that no longer pertains

--	--	--	--	--	--	--	--	--

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address				Principal Place of Business (city and state or country)
	Street Address				
	City	State/Province	Zip	Country	
					City
					State
					Country
					City
					State
					Country

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1	2	3
---	---	---

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address					
	City	State/Province	Country			
				City		
				State		
				Country		%

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1	3	5
2	4	6

Printed Name and Title Sid Ashworth, Corporate Vice President, Government Relations